

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HANS-PETER WEITZEL ET AL.

Serial No.: Unknown

Filed: Filed Herewith

For: Protective-Colloid-Stabilized Polymers In The Form Of
Their Aqueous Dispersions Or Of Their Water-Redispersible Powders

Attorney Docket No.: WAS 0606 PUS

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)(1)**

Mail Stop Patent Application
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

If applicable, a copy of each reference listed on the attached Forms PTO/SB08A & B or any foreign cited art is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

HANS-PETER WEITZEL ET AL.

Date: October 24, 2003

By: 

William G. Conger, Reg. No. 31,209
Attorney/Agent for Applicant

BROOKS KUSHMAN P.C.
1000 Town Center, 22nd Floor
Southfield, MI 48075-1238
Phone: 248-358-4400; Fax: 248-358-3351

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	
				Filing Date	Filed Herewith
				First Named Inventor	Hans-Peter Weitzel et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	WAS 0606 PUS

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	
				Filing Date	Filed Herewith
				First Named Inventor	Hans-Peter Weitzel et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	WAS 0606 PUS

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Derwent Abstract corresp. to EP 1 065 224 [AN 2001-148205]	
		Derwent Abstract corresp. to EP 1 110 978 [AN 2001-559057]	
		Derwent Abstract corresp. to EP 1 110 979 [AN 2001-515952]	
		Derwent Abstract corresp. to WO-A 99/16794 [AN 1999-255060]	
		Derwent Abstract corresp. to EP 0 834 520 [AN 1998-195437]	
		T.G. Fox, Bull. Am. Physics, Soc. 1, 3, pages 123 [1956]	
		Polymer Handbook 2nd Edition, J. Wiley & Sons, New York [1975]	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
HANS-PETER WEITZEL ET AL. :
Serial Number : Group Art Unit:
Filed: :
For: PROTECTIVE-COLLOID-STABILIZED POLYMERS IN THE FORM OF THEIR
AQUEOUS DISPERSIONS OR OF THEIR WATER-REDISPERSIBLE POWDERS:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneysenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For EP-A 1065224 an English Derwent Abstract is enclosed.

For EP-A 1110978 an English Derwent Abstract is enclosed.

For EP-A 1110979 an English Derwent Abstract is enclosed.

For WO-A 99/16794 an English Derwent Abstract is enclosed.

For EP-A 834520 an English Derwent Abstract is enclosed.

EP-A 1088835 is already in English language.

T. G. Fox, Bull. Am. Physics Soc. 1, 3, page 123 (1956) is already in English language.

Polymer Handbook 2nd Edition, J. Wiley & Sons, New York (1975) is already in English language.

Signed this 10th day of October, 2003.

A handwritten signature in black ink, consisting of stylized, overlapping loops and strokes, positioned above a horizontal dotted line.

Dr. Michael Schuderer